| ΑD | 1 |  |  |  |
|----|---|--|--|--|
|    |   |  |  |  |

AWARD NUMBER: W81XWH-08-1-0378

TITLE: Targeting IKK in Basal-Like Breast Tumors as a Theapeutic Approach

PRINCIPAL INVESTIGATOR: Albert S. Baldwin, Ph.D.

CONTRACTING ORGANIZATION: University of North Carolina, Chapel Hill

Chapel Hill, NC 27599

REPORT DATE: June 2009

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

**Distribution Unlimited** 

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

## Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 1. REPORT DATE 2. REPORT TYPE 3. DATES COVERED 1 June 2009 1 June 2008 – 31 May 2009 Annual 5a. CONTRACT NUMBER 4. TITLE AND SUBTITLE 5b. GRANT NUMBER Targeting IKK in Basal-Like Breast Tumors as a Theapeutic Approach W81XWH-08-1-0378 5c. PROGRAM ELEMENT NUMBER 6. AUTHOR(S) 5d. PROJECT NUMBER 5e. TASK NUMBER Albert S. Baldwin, Ph.D. 5f. WORK UNIT NUMBER E-Mail: albert baldwin@med.unc.edu 8. PERFORMING ORGANIZATION REPORT 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) NUMBER University of North Carolina, Chapel Hill Chapel Hill, NC 27599 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSOR/MONITOR'S ACRONYM(S) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012 11. SPONSOR/MONITOR'S REPORT NUMBER(S) 12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited 13. SUPPLEMENTARY NOTES 14. ABSTRACT Specifically, our hypothesis is that IKK and a form of NF-kB are activated in certain breast tumors (including the majority of basal-like tumors), leading to the expression of genes which promote oncogenesis and which lead to resistance to therapy. Additionally, we hypothesize that these tumors will respond to inhibitors of this pathway, either alone or in combination with chemotherapy. Based on our findings, we hypothesize that IKK/NF-κB and Bcl2A1 (a key gene regulated by NF-κB that is found upregulated in basal-like breast cancer)

are key determinants of cancer therapy resistance in certain breast tumors. Our aims are to: (i) Generate a tumor bank archive for the analysis of NF-kB/IKK activation and associated gene expression, and correlate the findings derived from this analysis to breast tumor subtypes, (ii) Determine the mechanism of activation of Bcl2A1 and other NF-kB-dependent genes in basal-like cells; identify signaling components required for NF-kB activation in basal-like cancer cells; examine inhibitors of the NF-kB/IKK pathway in vitro, and (iii) Characterize animal models of breast cancer for activation of NF-kB and for potential therapeutic responses to NF-kB inhibitors.

| 15. SUBJECT TERMS Breast cancer, NF-kappaB, IKK, animal models, drug studies |                  |                   |                               |                        |   |  |
|--|------------------|-------------------|-------------------------------|------------------------|---|--|
| 16. SECURITY CLASSIFICATION OF:  |                  |                   | 17. LIMITATION<br>OF ABSTRACT | 18. NUMBER<br>OF PAGES | 19a. NAME OF RESPONSIBLE PERSON USAMRMC   |  |
| a. REPORT<br>U   | b. ABSTRACT<br>U | c. THIS PAGE<br>U | UU                            | 8                      | 19b. TELEPHONE NUMBER (include area code) |  |
|  |                  |                   |                               |                        |   |  |

# **Table of Contents**

|                              | <u>Page</u> |
|------------------------------|-------------|
| Introduction                 | 4           |
| Body                         | 4           |
| Key Research Accomplishments | 5           |
| Reportable Outcomes          | 5           |
| Conclusion                   | 5           |
| References                   | 5           |
| Appendices                   | 6-8         |

#### **INTRODUCTION:**

The goals of this grant are to determine if the NF-  $\kappa$ B pathway is active in the basal-like brea st cancer subtype and if t his pathway can be target ed by s mall molecule inhibitors in a mann er that is thera peutic. Patients with ba sal-like breast cancer typically exhib it poor out comes, thus new therapies are required [refs. 1 -5]. Our evidence is that a set of genes, known to be regulated by NF- $\kappa$ B, is upregulated in basal-like tumors and, interestingly, in cell lines that are basal-like. Some of the basal-like cell lines exhibit phosphorylated IKK, a key upstream regulator of the NF-  $\kappa$ B pathway [6]. The NF- $\kappa$ B pathway is known to be involved in oncogenesis, but it should represent a new therapeutic target for this breast cancer subtype with poor prognosis.

Aims \_\_\_\_ of th e proposal are to: ( i) analyze extracts of human breast can cer for phosphorylated IKK an d other ma rkers of NF-  $\kappa B$  activati on. Determine if these markers correlate with expression of Bcl2A1 and oth er NF- $\kappa B$ -dependent genes. (ii) determine the mechanism of activation of Bcl2A1 and other NF- $\kappa B$ -dependent genes in basal-like cancer cells, and compare this mechanism with pathways operative in distinct breast cancer subtypes (i.e., Her2+ cells). Analyze in hibitors of the NF-  $\kappa B$  p athway for effects on growth and survival of basal-like, and other breast cancer cells. (iii). Analyze experimental tumors for markers outlined in Aims 1 and 2. Usin ganimal models representative of basal-like and other breast cancers, determine if inhibitors analyzed in Aim 2 will suppress growth of the tumors, and/or sensitize the tumors to chemotherapy.

## **BODY (end of first year report)**:

#### Regarding Aim 1 goals:

--we have a nalyzed extracts of a number of bre ast tumors (7). We detected phosphorylated p65/RelA in samples 3, 4, 5, 6, and 7 (see Fig. 3). Bcl2A1 expression was found in tumor samples 2, 4 and 5. To umors 2 and 4 are basal-like and 5 is luminal A/IIE subset (a tumor subtype that is known to express Bcl2A1). Thus, these re sults show that phospho-p65 ser536 is not directly correlated with Bcl2A1 expression, but that Bcl2A1 is expressed in 2/2 basal-like tumors (consistent with our hypoth esis) but not in Her2+, luminal B, or luminal A (not IIE subtype).

#### Regarding Aim 2 goals:

--we have performed analysis of basal-like breast cancer cell lines and found the upregulation of the NF-κB subunit c-Rel (see Figs. 1 and 2). cRel is known to regulate Bcl2A1 in other cells.

--we performed analysis of Her2+ breast can cer cell line s which indicates t hat p65/RelA i s phosphorylated, and that certain NF- $\kappa$ B-dependent genes a re upregulated. Note that Bcl2A 1 was not found in the H er2+ breast cancer cell lines, suggesting that either a different NF- $\kappa$ B subunit is involved in control of Bcl2A1 expression in basal-like cancer, or that a different cofactor is involved. IKK $\alpha$  and IKK $\beta$  are both important in controlling gene expression and in activating NF- $\kappa$ B in these cells. IKK $\alpha$  drives invasion of these cells.

--treatment of basal-like breast cancer cell lines with the Bayer I  $KK\beta$  inhibitor reduces expression of the associated NF-  $\kappa B$ -dependent gene set and induces growth arrest (see Table 1 below).

#### Regarding Aim 3 goals, we have:

- --Crossed the RelA fl/fl animal with Her2+ animals, along with expression of cre recombinase in the breast. This will test the role of the p65/RelA subunit in progression of Her2+ breast cancer.
- --Begun treatment of the C3Tag an imal model with our IKK  $\beta$  inhibitor. The C3Tag animal is a model of basal-like cancer (it expresses genes found in human basal-like breast cancer).

#### **KEY RESEARCH ACCOMPLISHMENTS**:

- --Analyzed markers for NF-κB/IKK activation and Bcl2A1 expression in human tumors.
- --Contrasted Her2+ positive breast cancer cells with basal-like cells, indicating differ ential gene expression (and see manuscript described below).
- --Treatment of basal-like breast cancer cells with an IKK $\beta$  inhibitor suppresses expression of the NF- $\kappa$ B-dependent gene set and induces growth arrest/apoptosis.

### **REPORTABLE OUTCOMES:**

- --Manuscript submitted regarding st udies on Her2+ breast cancer cells and the involvement of IKK/NF- $\kappa B$  in controlling gene expression and invasion. This studies provides interesting parallels and differences with basal-like cancer.
- --Evidence that c-Rel is upregulated in basal-like breast cancer cell lines.

**CONCLUSIONS**: Analysis of human breast tumor extracts confirms prediction that Bcl2A1 is active in basal-like cancers and in the luminal A IIE group. Analysis of basal-like breast cancer cell lines indicates that c-Rel is upregulated in these cells, which is a potential link with control of Bcl2A1 gene expression. Comparison of Her2+ breast cancer cells with basal-like cells indicates that NF-  $\kappa$ B is active in both types of breast cancer, but that distinct genes are upregulated by NF- $\kappa$ B forms (potentially different subunits) in the two cancers. We have begun the proposed therapy studies in the model for basal-like cancer, using an IKK  $\beta$  inhibitor which shows growth suppressive activity on basal-like breast cancer cell lines.

### REFERENCES:

**1**. Brenton, J.D., L. Carey, A. Ahmed, and C. Caldes. Molecular classification and molecular forecasting of breast cancer: ready for clinical application? *J. Clin. Oncol.* 29, 7350-7360 [2005].

- **2**. Sorlie, T., C. Perou, T. Aas, S. Geisler, H. Johnsen, T. Hastie, et al. Gene expression patterns of breast carcinomas distinguish tumor subclasses with clinical implications. *Proc. Nat. Acad. Sci. U.S.A.* 100, 8418-8423 [2003].
- **3**. Hu, Z, C Fan, D. Oh, J. Marron, X. He et al. and C. Perou. The molecular portraits of breast tumors are conserved across microarray platforms. *BMC Genomics* **7**, 96-103 [2006].
- **4**. Carey, L., C. Perou, L. Livasy, L. Dressler, D. Cowan et al. and R. Millikan. Race, breast cancer subtypes, and survival in the Carolina Breast Cancer Study. *JAMA* 295, 2492-2502 [2006].
- **5**. Oh, D.S., M. Troester, J. Usary, Z. Hu, X. He, C. Fan, J. Lua, L. Carey, and C. Perou. Estrogen-Regulated Genes Predict Survival in Hormone Receptor-Positive Breast Cancers. *J Clin Oncol* 24, 1656-1664 [2006].
- **6**. Hayden, M. and S. Ghosh. Signaling to NF-κB. *Genes and Dev.* 18, 2195-2224 [2004].
- 7. Karin, M. NF-κB in cancer development and progression. *Nature* 441, 431-436 [2006].
- **8**. Basseres, D. and A. Baldwin. NF-κB and IKK pathways in oncogenic initiation and progression. *Oncogene* 25, 6817-6830 [2006].

#### **APPENDIX**

#### **Figure Legends:**

Fig. 1 (see first figure belo w). Nuclear extracts were generated f rom 3 basa I-like breast cancer cell lines (Sum102, Sum1 49, MDA-MB-231), from a lu minal-like breast cancer cell (MCF), and from a Her2+ breast cancer cell line (BT474). The nuclear extracts were used with a commercial gel shift/E LISA to determine nuclear levels of the 5 dif ferent NF-kB subunits. Results show that c-Rel and RelB are elevated in the basal-like cell lines.

<u>Fig. 2 (see second figure below).</u> Nuclear extracts from the Su m102 basal-like cells wer e treated with the IKKb inhibitor (Bay 65) and levels of c-Rel and RelB are diminished with treatment of Bay65.

**Fig. 3 (see third figure below**). Immunoblotting of whole cell extracts of 7 breast tumors stained with antibodies that recognize p65 phosphorylated at ser536, Bcl2A1 and tubulin. Tumors: 1 (Her2+), 2 and 4 (basal), 3 (luminal B), 5 (luminal A – IIE subset), 6 and 7 (luminal A). The results show that Bcl2A1 expression is detected in extracts of tumors from basal and IIE subsets. Phospho-p65 is detected but does not correlate with Bcl2A1 expression.

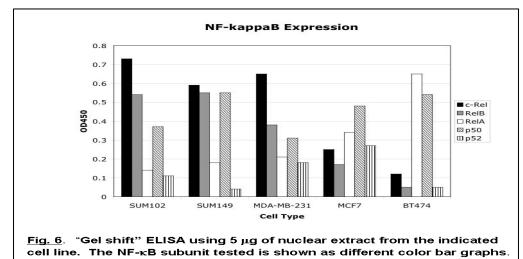


Fig. 1 (marked 6)

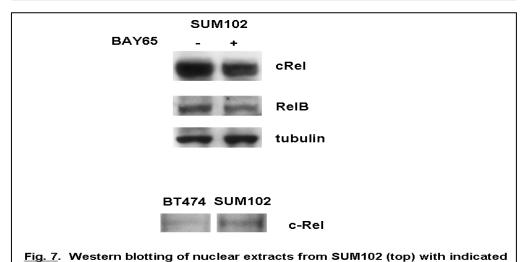
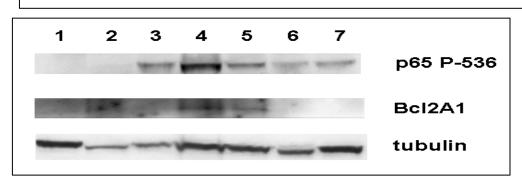


Fig. 2 (marked 7)



antibodies (right), without or with Bay65 (1 µM for 4 hours). Bottom: c-Rel antibody on nuclear extracts from BT474 and SUM102 (light exposure).

Fig. 3.

<u>Table 1</u>. Cell-Cycle Distribution of SUM102 cells treated with Bay65 (2.5  $\mu$ M), a control (inactive) compound Bay 60, or with DMSO control. Results are an average of two experiments (less than 20% variation within the different phases).

# **Cell-Cycle Phases**

|                  | <u>G0/G1</u> | S  | <u>G2/M</u> |
|------------------|--------------|----|-------------|
| Bay 65-1942      | 87           | 6  | 7           |
| Control Bay cmpd | 58           | 26 | 16          |
| DMSO control     | 55           | 21 | 24          |
|                  |              |    |             |